

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	09/954,483
Filing Date	September 17, 2001
First Named inventor	Christian Siebel
Art Unit	1645
Examiner Name	Not assigned
Attorney Docket Number	RMES-02

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
APJ	A	WO	99/20780	4/29/99	Boehringer Mannheim GMBH	Page 1, line 23; Page 4, line 24, Fig. 1	
APJ	B	WO	94/29442	12/22/94	BASF Aktiengesellschaft		
APJ	C	WO	93/16177	8/19/93	Cell Genesys, Inc.	Page 2, line 25; Page 4, line 16; example 1	

Examiner  
Signature*Donald R. Hoff*Date  
Considered

8-7-03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

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**Complete if Known**

Application Number	09/904,180
Filing Date	July 11, 2001
First Named Inventor	Keith D. Allen
Art Unit	1636
Examiner Name	Pappu, Sita S.
Attorney Docket Number	R-477

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<i>WFO</i>	<i>D</i>	G. HANNAN et al., "AN ENGINEERED PGK PROMOTER AND LAC OPERATOR-REPRESSOR SYSTEM FOR THE REGULATION OF GENE EXPRESSION IN MAMMALIAN CELLS" Gene, Vol. 130, pp. 233-239 (1993) <i>Excluded</i>	
<i>WFO</i>	<i>E</i>	U. MULLER, "TEN YEARS OF GENE TARGETING: TARGETED MOUSE MUTANTS, FROM VECTOR DESIGN TO PHENOTYPE ANALYSIS" Mechanisms of Development, Vol. 82, pp. 3-21 (1999)	

Examiner Signature	<i>Donald A. Tapp</i>	Date Considered	<i>8-7-03</i>
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